

Tropical Geometry and Commutative Algebra for Semirings

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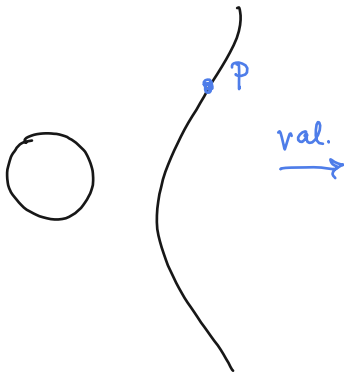
joint work with D. Joó, N. Friedenberg

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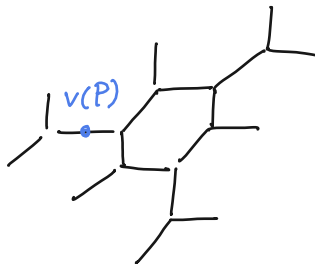
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Introduction: Tropical Geometry

X



$trop\ X$



Goal: Understand X by understanding $trop(X)$.

Algebraic Geometry

$$\begin{aligned} v: k &\rightarrow \mathbb{R} \cup \{\infty\} = (\mathbb{T}, +, \times) \\ v(ab) &= v(a) + v(b) \quad \text{min } +_{\mathbb{R}} \\ v(a+b) &\geq \min \{v(a), v(b)\} \\ v(0) &= \infty \end{aligned}$$

Commutative Algebra:

ideal $I \subseteq k[x_1, \dots, x_n]$

$$I \ni f = \sum c_u x^u$$

Geometry:

$$V(I) = \{P \in k^n : f(P) = 0, \forall f \in I\}$$

Tropical Algebra:

$$\begin{aligned} \text{trop}(f) &= \min \{v(c_u) + x \cdot u\} \\ \text{trop}(f) &\in \mathbb{T}[x_1, \dots, x_n] \\ \text{trop}(I) &= \{ \text{trop}(f) \mid \forall f \in I \} \end{aligned}$$

Tropical Geometry:

$$\text{trop}(V(I)) =$$

$$\{P \in \mathbb{T}^n \mid f(P) \text{ is either } \infty \text{ or min} \\ \text{is attained twice}\}$$

- ① Applications to algebraic geometry:
 - Moduli spaces of curves
 - Enumerative geometry (Gromov-Witten invariants)
 - Brill-Noether theory
 - Mirror Symmetry
- ② Outside algebraic geometry:
 - Math biology (phylogentic trees)
 - Economics
 - Neural Networks
 - etc.

Pros/Cons of these methods

Pros:

- New set of combinatorial tools.

Cons:

- Lose algebraic information (this is a degeneration)
- Hard to work in higher dimensions (beyond curves)

Sub-Goal: Salvage enough algebra and create an intrinsic theory.

$$\mathbb{T} = \{\mathbb{R} \cup \{\infty\}, \min, +_{\mathbb{R}}\}$$

- \mathbb{T} is additively idempotent

$$\forall a \in \mathbb{T}, \quad a + a = a.$$

- There is no “-” (semifield)
- $\mathbb{T}[x_1, \dots, x_n]$ is not cancellative, not UFD, ...

We will try to understand:

- Quotients (coordinate rings of affine varieties)

$$k[x_1, \dots, x_n]/I$$

- Prime ideals(?)

- Dimension

In the ring case:

- Let I be an ideal in a ring R , we define $C_I = \langle (a, 0), \forall a \in I \rangle$, then

$$R/I := R/C_I.$$

- One-to-one correspondence between I and C_I

ker of cong.

In the semiring case:

not the case

$$\mathbb{T}[x, y] / x \sim y \cong \mathbb{T}[x].$$

ker of $\langle x \sim y \rangle$
is trivial

nothing other than $0_{\mathbb{T}}$ is \sim to $0_{\mathbb{T}}$.

Ring multiplication (pair version)

$$a \sim b \iff (a, b) \in C_I \iff (a-b, 0) \in C_I$$

Let $a-b, c-d \in I$, i.e. (a, b) and $(c, d) \in C_I \iff (a-b) \in I$.

Note that $(a, b) \cdot (c, d) \in C_I$

$$\iff (a-b, 0) \cdot (c-d, 0) \in C_I$$

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Definition

Let C be a congruence on R , and let $\alpha = (a, b)$ and $\beta = (c, d)$. The **twisted product** $\alpha * \beta = (a, b) * (c, d) = (ac + bd, ad + bc)$.

Primes

Let R be a ring or a semiring.

P is a **prime ideal** of R if whenever $ab \in P$ then $a \in P$ or $b \in P$.

Definition (JM 17)

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Theorem (Joó-M'17)

If R is an additively idempotent semiring, then P is a prime congruence if and only if R/P is cancellative and P is irreducible.

$$\begin{aligned} & \underbrace{ab = cb} \\ \Rightarrow & \text{either } b = 0 \\ & \text{or } a = c. \end{aligned}$$

$$\begin{aligned} & \underbrace{P = A \cap B} \\ \Rightarrow & P = A \text{ or } P = B. \end{aligned}$$

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If R is an additively idempotent semiring, then P is a prime congruence if and only if R/P is cancellative and totally ordered.

Primes on $\mathbb{B}[x_1, \dots, x_n]$ and $\mathbb{T}[x_1, \dots, x_n]$

- The primes on the polynomial semiring or Laurent polynomial semiring correspond to matrices.
- They are related to monomial orders.

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- They are related to monomial orders.

$$\mathbb{B} = \{0, 1\}$$

$$1+1=0.$$

Example

Let $U = \begin{bmatrix} -1 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix}$, that defines the prime $P(U)$ in $\mathbb{B}[x^{\pm 1}, y^{\pm 1}, z^{\pm 1}]$.

We would like to compare the following monomials in $\mathbb{B}[x^{\pm 1}, y^{\pm 1}, z^{\pm 1}]/P(U)$. Let $m_1 = x^2 y^3 z^1$ and $m_2 = x^3 y^1 z^2$.

$$n_1 = \begin{bmatrix} 2 \\ 3 \\ 1 \end{bmatrix}, n_2 = \begin{bmatrix} 3 \\ 1 \\ 2 \end{bmatrix} \text{ and } Un_1 = \begin{bmatrix} 2 \\ 3 \end{bmatrix}, Un_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}.$$

$$Un_1 - Un_2 = \begin{bmatrix} 2 \\ 3 \end{bmatrix} - \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 2 \end{bmatrix}, \text{ hence } m_1 > m_2.$$

Dimension theory

$$\pi[x_1 \dots x_n].$$

We are interested in the case when R is an additively idempotent semiring.

Definition JW 17

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Example

$$\dim \mathbb{B} = 0; \quad \dim \mathbb{T} = 1; \quad \dim \mathbb{B}[x] = 1; \quad \dim \mathbb{T}[x_1, \dots, x_n] = n + 1$$

We can do these via explicit computation.

$a \sim 1_{\mathbb{T}}$ when $a \neq 0_{\mathbb{T}}$

$\dim \mathbb{T} = 1$

Theorem (Joó-M'18)

Let R be an additively idempotent semiring, then

$$\dim R[x_1, \dots, x_n] = \dim R + n.$$

The proof goes by passing to the semifield of fractions of R , which has the same dimension as R !

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Remark

If R is a Noetherian ring, then $\dim R[x] = \dim R + 1$. Otherwise, $\dim R + 1 \leq \dim R[x] \leq 2\dim R + 1$.

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Theorem (F. Alarcón and D. Anderson'94)

If we define dimension in terms of ideals then $\dim \mathbb{B}[x] = \infty$.

Towards Geometry: Tropical vanishing locus (1)

Let $I \in \mathbb{T}[x_1, \dots, x_n]$ be an **ideal** then

$$V(I) = \{a \in \mathbb{T}^n : f(a) \text{ attains its } \textcolor{blue}{\text{min}} \text{ at least twice}, \forall f \in I\}.$$

$\text{or } f(a) = \infty$

Let $C \in \mathbb{T}[x_1, \dots, x_n]^2$ be a **congruence** then

$$V(C) = \{a \in \mathbb{T}^n : f(a) = g(a), \forall (f, g) \in C\}.$$

$f \sim g$

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Let $I \in \mathbb{T}[x_1, \dots, x_n]$ be an **ideal** then

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Let $C \in \mathbb{T}[x_1, \dots, x_n]^2$ be a **congruence** then

$$V(C) = \{a \in \mathbb{T}^n : f(a) = g(a), \forall (f, g) \in C\}.$$

Question: What can we say about $V(P)$ when P is a prime ideal or congruence of $\mathbb{T}[x_1, \dots, x_n]$?

Towards Geometry: Tropical vanishing locus (2)

Theorem (Joó-M'21)

Let C be a prime **congruence** or a prime **ideal** on $\mathbb{T}[x_1, \dots, x_n]$. Then:

$$V(P) = \emptyset \text{ or } V(P) = \text{point.}$$

if P is a prime ideal
& P is "tropical"
then $P = \mathbb{T}[x_1, \dots, x_n]$.

Convergent power series

semiring.

Let M be a toric monoid. Let \mathcal{O}_P denote the set of “convergent power series” at the point P , i.e. P is a prime congruence and \mathcal{O}_P is a subset of $\{f = \sum_{u \in M} c_u \chi^u, c_u \in S\}$.

Theorem (Friedenberg-M'21)

If $P \in \mathbb{T}[M]$ with trivial kernel, then

$$\dim \mathcal{O}_P = \dim \mathbb{T}[M].$$

We an inequality for subsemifields of \mathbb{T} and the formula involves the rank of the residue field.

semi.

Towards Geometry (2)

For an affine algebraic variety:

$$\dim V(I) = \dim k[x_1, \dots, x_n]/I.$$

The Structure Theorem for Tropical Geometry:

$$\dim V(I) = \dim \text{trop } V(I).$$

polyh. complex

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Question: Do we have something like this tropically, i.e.

$$\dim \text{trop } V(I) \stackrel{?}{=} \dim \mathbb{T}[x_1, \dots, x_n] / \text{???}.$$

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Yes!

Bend Congruences

Let I be an ideal of $k[x_1, \dots, x_n]$, then

$$\text{trop}(I) = \{\text{trop}(f) : f \in I\} \subseteq \pi[x_1, \dots, x_n]$$

Let J be an ideal of $\mathbb{T}[x_1, \dots, x_n]$

$$\text{bend}(J) = \{g \sim g_i : i \in \text{supp}(g)\}$$

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↑ bend congruences, due to Giansiracusa^{2,16}

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Example

$g = x + y + z$, then $\text{bend}(g) = \{g \sim x+y \sim x+z \sim y+z\}.$

Towards Geometry (3)

The \mathbb{T} -points of $\text{Spec} \mathbb{T}[x_1, \dots, x_n] / \text{bend}(\text{trop}(I))$ are $\text{trop}(V(I))$.

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Theorem

Let I be an ideal of $k[x_1, \dots, x_n]$, then

$$\dim \text{trop } V(I) = \dim(\mathbb{T}[x_1, \dots, x_n] / \text{bend}(\text{trop}(I))) - 1.$$

Recall that $\dim \mathbb{T} = 1$.

polyh.
complex.

Remark

Ideals of the type $\text{trop}(I) \subseteq \mathbb{T}[x_1, \dots, x_n]$ are referred to as “tropicalized ideals”. They are a proper subset of the set of **tropical ideals**, introduced by Maclagan-Rincón’17.

- A tropical ideal $J \subseteq \mathbb{T}[x_1, \dots, x_n]$ is almost never finitely generated (neither is $\text{trop}(I)$ for $I \in k[x_1, \dots, x_n]$).
- The congruence $\text{bend}(J)$ is also almost never finitely generated.
- However, $\text{trop}(V(I)) = V(\text{trop}(I)) = \bigcap_{f \in T} V(\text{trop}(f))$, where T is finite.
- $\text{HF}(I) = \text{HF}(\text{trop}(I))$, where HF is the Hilbert function.

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- However, $\text{trop}(V(I)) = V(\text{trop}(I)) = \bigcap_{f \in T} V(\text{trop}(f))$, where T is finite.
- $HF(I) = HF(\text{trop}(I))$, where HF is the Hilbert function.

The degree of the Hilbert Polynomial agrees with the dimension of the polyhedral complex and the Krull dimension we introduced!

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Thank you for your attention!

